

What is Dependent Type Theory and why does Lean use it?

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Outline

1 Introduction

2 Why not First Order Logic and Set Theory?

Introduction

Motivation: Why Type Theory?

- Lean is a *proof verifier* **and** a *proof assistant*.
- Its kernel is designed to be:
 - **Technically simple** (avoid bugs in the implementation).
 - **Theoretically understandable** (metatheory is well-understood).
 - **Mathematically sound** (no paradoxes).
- **Goal of this talk:** Explain why Lean uses **dependent type theory (DTT)** instead of first-order logic (FOL).

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- Being able to check equality by reducing to normal forms.
- Being able to check if certain theorems fit in a gap in an attempted proof.
- Tell you about the remaining goals in the proof.
- Give you some information about the objects you reference.
- Give you some information about the hypotheses you use.
- many more...

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Why not First Order Logic and Set Theory?

Mathematics and Set Theory

“Mathematics is based on set theory as a foundation” is a common claim. But in what sense is “foundation” and “based” to be understood?

Mathematics and Set Theory

Under some meanings of “foundation” the claim seems **right**:

- Encode all¹ mathematical objects as sets.
- Allows for drastic reduction of complexity in foundations:
Consistency, completeness, existence of models etc. can be studied abstractly. For example: Set theory allows us to see that the theories of Peano-Arithmetic and even of real and complex numbers are consistent (relative to ZFC).
- Provides a common language to show existence:
You do not have to argue philosophically, just construct it.

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- “Junk-Theorems/Questions”:²
 - Can a finite simple group be a zero of the Riemann Zeta Function?
 - Are the natural numbers a subset of the integers?
 - Is 1 an element of $(1, 3)$? Is 3 an element of $(1, 3)$?
- Mathematicians in practice use different sorts of objects:
 - Numbers
 - Sets
 - Functions
 - Vectorspaces
- We often do calculations without proving theorems about equality.

²See <https://mathoverflow.net/questions/90820/set-theories-without-junk-theorems>

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Example

Turing machines are also the foundation of the theory of computation:

- All programs can be encoded as a Turing machine.
- This allows to prove impossibility results etc.

But this foundation does neither reflect the practice of computation nor of programming:

- Computers do not function like Turing machines.
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Conclusion:

We can sum this up in as:

Lean does not use First Order Logic for the same reason why we do not all write Code in Assembly and not even in C.

Or a bit more positive:

Lean's goal is to mirror mathematical practice, not to study set theory. Type theory is a better fit because it aligns with how we write proofs and structure ideas.

Now let us take a look at type theory to see if it indeed is better aligned.

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