

Lambda Calculus

An Accessible Introduction

Agenda

Foundations

Boolean Algebra

Natural Numbers

Omega: Self-Application

Y Combinator and Recursion

Typed Lambda Calculus

Historical Origins

- Alonzo Church, 1930s: a formal system where *functions* are the fundamental objects
- Goal: provide a foundation for logic and mathematics
- The logical system proved inconsistent — but the *computational core* survived and flourished
- Alan Turing (1937): lambda calculus and Turing machines are **computationally equivalent**

Why Study Lambda Calculus?

Theory

- Universal model of computation
- Foundation of type theory
- Basis of formal proofs

Practice

- Lisp, ML, Haskell
- Proof assistants: Coq, Agda, Lean
- Anonymous functions in *every* modern language

Key insight

Three constructs are enough to compute *anything* computable.

Lambda Terms — Λ

$$M, N ::= x \mid \lambda x. M \mid M N$$

- x **variable** — a placeholder for a value
- $\lambda x. M$ **abstraction** — a function with parameter x , body M
- $M N$ **application** — apply M to argument N

That is *all*. No numbers, booleans, or loops — yet.

- **Application is left-associative:**

$$M\ N\ P \equiv (M\ N)\ P$$

- **Abstraction extends as far right as possible:**

$$\lambda x. M\ N \equiv \lambda x. (M\ N)$$

- **Multiple parameters abbreviate currying:**

$$\lambda x\ y. M \equiv \lambda x. (\lambda y. M)$$

Examples

- $\lambda x. x$ — the **identity** function **I**
- $\lambda x y. x$ — **constant** (K combinator): returns first arg
- $\lambda f x. f x$ — explicit function application
- $(\lambda x. x x) (\lambda x. x x)$ — self-application (preview of **Ω**)

Free and Bound Variables

In $\lambda x. M$, the variable x is **bound** in M — like the dummy variable in $\int f(x) dx$.

Free variables $FV(M)$

$$FV(x) = \{x\}$$

$$FV(\lambda x. M) = FV(M) \setminus \{x\}$$

$$FV(M N) = FV(M) \cup FV(N)$$

Example

In $\lambda x. (x y)$: x is bound; y is **free**.

In $(\lambda x. x) x$: the first x is bound; the last is **free**.

Substitution

$M[N/x]$ — replace all *free* occurrences of x in M by N .

Capture-avoiding substitution (key cases)

$$x[N/x] = N$$

$$y[N/x] = y \quad (y \neq x)$$

$$(\lambda y. M)[N/x] = \lambda y. M[N/x] \quad \text{if } y \neq x, y \notin \text{FV}(N)$$

$$(\lambda x. M)[N/x] = \lambda x. M$$

If $y \in \text{FV}(N)$, first **rename** y to a fresh variable. This avoids *variable capture*.

Alpha-Equivalence

The name of a bound variable is irrelevant:

$$\lambda x.x =_{\alpha} \lambda y.y =_{\alpha} \lambda z.z$$

These are all the *same* function, written differently.

Alpha-equivalence

$M =_{\alpha} N$ if N can be obtained from M by consistently renaming bound variables. We treat α -equivalent terms as **identical**.

Beta-Reduction: The Rule

The **only** computational rule:

β -reduction

$$(\lambda x. M) N \rightarrow_{\beta} M[N/x]$$

A *redex* is any subterm of the form $(\lambda x. M) N$.

$\rightarrow_{\beta}$ denotes zero or more β -reduction steps.

Example

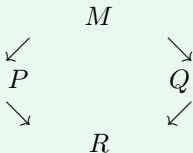
$$(\lambda x. x x) (\lambda y. y) \rightarrow_{\beta} (\lambda y. y) (\lambda y. y) \rightarrow_{\beta} \lambda y. y$$

Definitions

- A term is in **normal form** if it contains no redex
- A term **diverges** if it reduces forever (no normal form)

Church–Rosser Theorem (Confluence)

If $M \rightarrow_{\beta} P$ and $M \rightarrow_{\beta} Q$, there exists R such that $P \rightarrow_{\beta} R$ and $Q \rightarrow_{\beta} R$.



Consequence: normal forms are **unique** (up to α -equivalence).

Evaluation Strategies

Normal-order (lazy)

Always reduce the **leftmost outermost** redex first.

Normalising: finds a normal form whenever one exists.

Applicative-order (strict / call-by-value)

Always reduce the **leftmost innermost** redex first (evaluate arguments before applying).

May diverge even when a normal form exists.

Key example

$(\lambda x. \lambda y. y) \Omega$

Normal-order: $\rightarrow_{\beta} \lambda y. y$ (**terminates**)

Applicative-order: reduces Ω first (**diverges**)

Two functions are *extensionally equal* if they agree on all inputs.

η -reduction

If $x \notin \text{FV}(M)$, then:

$$\lambda x. (M x) \rightarrow_{\eta} M$$

- $\lambda x. (M x)$ and M behave identically on every input
- $\beta\eta$ -equivalence is the standard notion of function equality
- Often omitted in introductions; β -reduction alone is sufficient

Part 2

Boolean Algebra

Church Booleans

Key insight: data can be encoded as *functions*.

A boolean is a *selector*: it chooses one of two options.

Church booleans

$\text{true} \quad :\equiv \quad \lambda t f. t$ (selects first argument)

$\text{false} \quad :\equiv \quad \lambda t f. f$ (selects second argument)

Both are closed terms (no free variables) — they are *values*.

If-then-else

$$\text{if} \quad :\equiv \quad \lambda b t f. b t f$$

Evaluation:

- if true $M N \rightarrow_{\beta} (\lambda t f. t) M N \rightarrow_{\beta} M$
- if false $M N \rightarrow_{\beta} (\lambda t f. f) M N \rightarrow_{\beta} N$

The conditional is **just function application** — b itself does the selecting.

Definitions

$$\text{not} \quad :\equiv \quad \lambda b. \ b \ \text{false} \ \text{true}$$
$$\text{and} \quad :\equiv \quad \lambda b_1 \ b_2. \ b_1 \ b_2 \ \text{false}$$
$$\text{or} \quad :\equiv \quad \lambda b_1 \ b_2. \ b_1 \ \text{true} \ b_2$$

Intuition for and:

If $b_1 = \text{true}$, return b_2 (conjunction is whatever b_2 is).

If $b_1 = \text{false}$, return false immediately.

Example: $\text{not true} \rightarrow_{\beta} \text{false}$

Reduction trace

$$\begin{aligned}\text{not true} &= (\lambda b. b \text{ false true}) \text{ true} \\ &\rightarrow_{\beta} \text{true false true} \\ &= (\lambda t f. t) \text{ false true} \\ &\rightarrow_{\beta} \text{false} \quad \checkmark\end{aligned}$$

Similarly: $\text{and true false} \rightarrow_{\beta} \text{false}$, or $\text{false true} \rightarrow_{\beta} \text{true}$.
Full step-by-step derivations in the companion notes.

Part 3

Natural Numbers

Church Numerals: The Idea

Key insight: represent the number n as n -fold iteration of a function.

$$\bar{n} f x = \underbrace{f(f(\cdots(f x)\cdots))}_{n \text{ times}}$$

- $\bar{n}$ is a higher-order function
- It takes f and x , and applies f exactly n times to x
- Structure mirrors the Peano axioms

The first few numerals

$\bar{0} = \lambda f x. x$ (apply f zero times)

$\bar{1} = \lambda f x. f x$ (apply f once)

$\bar{2} = \lambda f x. f (f x)$ (apply f twice)

$\bar{3} = \lambda f x. f (f (f x))$ (apply f three times)

Definition

$$\text{succ} \quad :\equiv \quad \lambda n f x. f (n f x)$$

Verification: $\text{succ } \bar{2} = \bar{3}$

$$\begin{aligned} \text{succ } \bar{2} &= (\lambda n f x. f (n f x)) \bar{2} \\ &\rightarrow_{\beta} \lambda f x. f (\bar{2} f x) \\ &\rightarrow_{\beta} \lambda f x. f (f (f x)) = \bar{3} \quad \checkmark \end{aligned}$$

$\text{succ } \bar{n}$ applies f once more than $\bar{n}$ would.

Definition

$$\text{plus} \equiv \lambda m n f x. m f (n f x)$$

Intuition: apply f first n times (via $n f x$), then m more times (via $m f$). Total: $m + n$ applications.

$$\text{plus } \overline{2} \overline{2} \rightarrow_{\beta} \overline{4}$$

$$\begin{aligned} \text{plus } \overline{2} \overline{2} &\rightarrow_{\beta} \lambda f x. \overline{2} f (\overline{2} f x) \\ &\rightarrow_{\beta} \lambda f x. \overline{2} f (f (f x)) \\ &\rightarrow_{\beta} \lambda f x. f (f (f (f x))) = \overline{4} \quad \checkmark \end{aligned}$$

Multiplication and Exponentiation

Multiplication

$$\text{times} \quad :\equiv \quad \lambda m n f. m (n f)$$

Apply f n times, m times over: total $m \times n$ applications.

Exponentiation

$$\text{exp} \quad :\equiv \quad \lambda m n. n m$$

The Church numeral n represents “apply n times”.

Applying it to m means applying m exactly n times: m^n .

Exponentiation is just **reverse application**. Remarkably compact!

IsZero

$$\text{isZero} \quad :\equiv \quad \lambda n. \ n (\lambda x. \text{false}) \text{true}$$

How it works:

- If $n = \overline{0}$: apply $(\lambda x. \text{false})$ *zero* times to true $\Rightarrow$ returns true
- If $n \geq \overline{1}$: apply $(\lambda x. \text{false})$ *at least once* $\Rightarrow$ always returns false, discarding the initial true

Predecessor: The Challenge

- succ is easy: add one more application of f
- pred is hard: **cannot remove** an application already built in
- Naive approaches fail — there is no direct “one less” operation

Solution (Church and Kleene, 1935)

Use **pairs** to carry an extra counter alongside the main value, then extract what we need at the end.

Pairs as selector functions

$$\text{pair} \quad :\equiv \quad \lambda a b f. f a b$$
$$\text{fst} \quad :\equiv \quad \lambda p. p \text{ true}$$
$$\text{snd} \quad :\equiv \quad \lambda p. p \text{ false}$$

A pair $\text{pair } a b$ stores a and b and waits for a selector:

$$\text{fst } (\text{pair } a b) \rightarrow_{\beta} a, \quad \text{snd } (\text{pair } a b) \rightarrow_{\beta} b$$

The Step Function

Idea: iterate a pair $(\text{prev}, \text{curr})$, starting from $(\overline{0}, \overline{0})$.

Step function

$$\text{step} \quad :\equiv \quad \lambda p. \text{ pair } (\text{snd } p) (\text{succ } (\text{snd } p))$$

Iteration trace

$$(\overline{0}, \overline{0}) \xrightarrow{\text{step}} (\overline{0}, \overline{1}) \xrightarrow{\text{step}} (\overline{1}, \overline{2}) \xrightarrow{\text{step}} (\overline{2}, \overline{3}) \rightarrow \dots$$

After n steps, the *first* component is $\overline{\max(0, n-1)}$.

Predecessor and Subtraction

Predecessor

$$\text{pred} \equiv \lambda n. \text{fst } (n \text{ step } (\text{pair } \bar{0} \bar{0}))$$

Apply *step* exactly n times, starting from $(\bar{0}, \bar{0})$, then take the first component.

Subtraction (monus)

$$\text{sub} \equiv \lambda m n. n \text{ pred } m$$

Apply *pred* exactly n times to m .

If $m < n$: result is $\bar{0}$ (natural numbers cannot go negative).

Part 4

Omega: Self-Application

The Self-Aplying Term ω

Nothing in the grammar prevents a variable from being applied to itself.

Definition

$$\omega \quad :\equiv \quad \lambda x. \ x \ x$$

- ω takes an argument and applies it to itself
- Self-application is legal syntax in the *untyped* calculus
- As we'll see, it leads directly to non-termination *and* recursion

The Omega Term

Definition

$$\Omega \quad :\equiv \quad \omega \, \omega = (\lambda x. x \, x) (\lambda x. x \, x)$$

Reduction

$$\Omega = (\lambda x. x \, x) (\lambda x. x \, x) \rightarrow_{\beta} (\lambda x. x \, x) (\lambda x. x \, x) = \Omega$$

Ω diverges

Each β -step produces Ω again — an *infinite loop*.
 Ω is the archetypal divergent term.

The term $(\lambda x. \lambda y. y) \Omega$

- **Normal-order** (reduce outermost first):

$$(\lambda x. \lambda y. y) \Omega \rightarrow_{\beta} \lambda y. y \text{ terminates!}$$

(Ω is the argument but is never evaluated)

- **Applicative-order** (evaluate argument first):

$$\Omega \rightarrow_{\beta} \Omega \rightarrow_{\beta} \Omega \rightarrow_{\beta} \dots \text{ diverges}$$

Three Lessons from Ω

1. **Non-termination is native.** The lambda calculus can express infinite loops — it is Turing-complete in the full sense.
2. **Evaluation order is observable.** Different strategies can give different termination behaviour. Language design must commit to a strategy.
3. **Self-application enables fixed points.** ω is the key ingredient in building combinators that can call themselves — the basis of the Y combinator.

Part 5

Y Combinator and Recursion

The Fixed-Point Theorem

Theorem (Church, 1941)

For every term $F \in \Lambda$ there exists a term M such that

$$F M \twoheadrightarrow_{\beta} M.$$

M is called a **fixed point** of F .

- In analysis: $f(x^*) = x^*$ (e.g. $f(x) = \cos x$)
- In the lambda calculus: *every function* has a fixed point
- The proof is constructive — it exhibits a fixed-point combinator

Definition

$$\mathbf{Y} \quad \equiv \quad \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$$

- Introduced by Church (1941)
- $\mathbf{Y}$ is a closed term — no free variables
- The inner $\lambda x. f (x x)$ uses self-application (compare $\omega = \lambda x. x x$)
- $\mathbf{Y}$ has no normal form under any evaluation strategy

Verifying the Fixed-Point Property

Claim: $\mathbf{Y} F \rightarrow_{\beta} F (\mathbf{Y} F)$.

Proof by reduction (let $H \equiv \lambda x. F (x x)$)

$$\begin{aligned}\mathbf{Y} F &= (\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))) F \\&\rightarrow_{\beta} (\lambda x. F (x x)) (\lambda x. F (x x)) \\&= H H \\&\rightarrow_{\beta} F ((\lambda x. F (x x)) (\lambda x. F (x x))) \\&= F (H H) \\&= F (\mathbf{Y} F) \quad \checkmark\end{aligned}$$

Goal: define a function g satisfying $g\ n = \dots g \dots$

Lambda terms cannot refer to themselves by name.

The fixed-point trick

1. Define a non-recursive helper F such that $g = F\ g$
2. Then $g :\equiv \mathbf{Y}\ F$
3. By the fixed-point property: $\mathbf{Y}\ F \rightarrow_{\beta} F\ (\mathbf{Y}\ F) = F\ g$

F receives the function to call recursively as its *first argument*.

Define the one-step unroller F :

$$F \equiv \lambda f n. \text{ if } (\text{isZero } n) \ \bar{1} \ (\text{times } n \ (f \ (\text{pred } n)))$$

Here f is the placeholder for the recursive call.

Recursive factorial

$$fact \equiv Y F$$

By the fixed-point property:

$$fact = Y F \rightarrow_{\beta} F(Y F) = F fact$$

So $fact$ satisfies its defining equation.

The Z Combinator (Call-by-Value)

Problem with Y under strict evaluation

In *applicative-order* semantics, $Y F$ diverges immediately before any useful computation occurs.

Z combinator (call-by-value fixed point)

$$\mathbf{Z} \quad :\equiv \quad \lambda f. (\lambda x. f (\lambda v. x x v)) (\lambda x. f (\lambda v. x x v))$$

The extra $\lambda v. \dots$ **delays** self-application until the function is actually called.

Used in languages with strict evaluation (OCaml, Scheme without laziness).

Key facts

- Ω proves the lambda calculus can loop forever
- Y proves every function has a fixed point $\Rightarrow$ general recursion is expressible
- Church (1936) used this to show the *Entscheidungsproblem* is unsolvable

Church–Turing Thesis

Lambda calculus and Turing machines are **equivalent** models of computation. Both capture exactly the class of computable functions.

Part 6

Typed Lambda Calculus

Why Types?

The untyped calculus allows:

- Applying a “number” to another “number”
- Self-application ($\omega = \lambda x. x x$) and divergence
- No static guarantee that a program is meaningful

Types impose discipline

- Ill-formed programs are **rejected at type-checking time**
- Well-typed programs enjoy strong safety guarantees
- Cost: some valid programs become inexpressible

Grammar of simple types

$$A, B ::= \alpha \mid A \rightarrow B$$

- $\alpha, \beta, \dots$ are **base types** (atoms)
- $A \rightarrow B$ is the type of **functions** from A to B
- $\rightarrow$ is right-associative: $A \rightarrow B \rightarrow C = A \rightarrow (B \rightarrow C)$

Examples

- $\alpha \rightarrow \alpha$ — type of the identity function
- $\alpha \rightarrow \beta \rightarrow \alpha$ — type of the K combinator
- $(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$ — type of a Church numeral

Typing Contexts and Judgments

Typing context Γ

A finite set of **assumptions**: $x_1 : A_1, x_2 : A_2, \dots$

Each variable appears at most once.

Typing judgment

$$\Gamma \vdash M : A$$

Read: “under assumptions Γ , term M has type A ”.

A judgment is *derivable* if it can be proved using the three typing rules.

Simply Typed Lambda Calculus

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x : A} \text{ (VAR)} \qquad \frac{\Gamma, x:A \vdash M : B}{\Gamma \vdash \lambda x. M : A \rightarrow B} \text{ (ABS)}$$

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash M N : B} \text{ (APP)}$$

One rule per term constructor: variable, abstraction, application.

Examples: Identity and K

Identity $\lambda x. x : A \rightarrow A$

$$\frac{(x:A) \in \{x:A\}}{x:A \vdash x : A} \text{ (VAR)} \implies \frac{x:A \vdash x : A}{\emptyset \vdash \lambda x. x : A \rightarrow A} \text{ (ABS)}$$

K combinator $\lambda x y. x : A \rightarrow B \rightarrow A$

$$\emptyset \vdash \lambda x y. x : A \rightarrow B \rightarrow A$$

Takes two arguments; returns the first, discarding the second.

Theorem (Subject Reduction)

If $\Gamma \vdash M : A$ and $M \rightarrow_{\beta} N$, then $\Gamma \vdash N : A$.

- Computation preserves types
- A well-typed program never “goes wrong” during reduction
- Proof by induction on the derivation of $M \rightarrow_{\beta} N$ (the key lemma: substitution preserves types)

Theorem (Progress)

If $\emptyset \vdash M : A$ and M is not a normal form, then there exists N with $M \rightarrow_{\beta} N$.

Well-typed closed terms are never stuck.

Theorem (Strong Normalisation, Tait 1967)

Every well-typed term terminates: every reduction sequence eventually reaches a normal form.

Well-typed programs always halt. Proof method: **reducibility candidates** (Tait's method).

Y is Not Typable in STLC

The type equation has no solution

For $\omega = \lambda x. x x$ to have type $A \rightarrow B$ we need:

- $x : A$ and $x x : B$
- So x must be of type $A \rightarrow B$, meaning $A = A \rightarrow B$
- No finite simple type satisfies $A = A \rightarrow B$

ω is not typable $\Rightarrow$ Y (which uses ω) is not typable.

This is *by design*: ruling out self-application is exactly what guarantees termination.

Several extensions add general recursion back in a typed setting:

- **fix constant.** $\text{fix}_A : (A \rightarrow A) \rightarrow A$ with $\text{fix}_A F \rightarrow_{\beta} F(\text{fix}_A F)$. Breaks strong normalisation.
- **Recursive types (μ -types).** Allow type equations $\mu X. F[X]$. Makes self-application typable. Used in ML, Haskell.
- **System F (Girard 1972 / Reynolds 1974).** Universal type quantification $\forall X. A$. Strongly normalising; expresses all primitive recursive functions.
- **Dependent types.** Types depend on terms. Underpins Coq, Agda, Lean.

Summary

1. **β -reduction** is the sole computation rule. Church–Rosser: normal forms are unique.
2. **Church encodings** represent data as functions. Booleans, pairs, natural numbers, and arithmetic (including subtraction via the pairing trick) are all expressible.
3. **Ω** shows that non-termination is native. Evaluation order determines whether a term terminates.
4. **Y combinator** gives every function a fixed point, enabling general recursion. Z is the call-by-value variant.
5. **Simple types** guarantee termination by ruling out self-application. Extensions (fix, μ , System F, dependent types) trade back safety for expressiveness.

Further Reading

- A. Church (1932, 1936, 1941) — original papers and monograph
- H.P. Barendregt — *The Lambda Calculus: Its Syntax and Semantics* (1984). The standard comprehensive reference.
- H.P. Barendregt — “Lambda calculi with types” in *Handbook of Logic in Computer Science*, vol. 2 (1992).
- B.C. Pierce — *Types and Programming Languages* (MIT Press, 2002). Excellent, accessible graduate textbook.
- W.W. Tait (1967) — original strong normalisation proof.
- J.-Y. Girard (1972) — System F (PhD thesis).
- S.C. Kleene (1935) — predecessor and pairing construction.